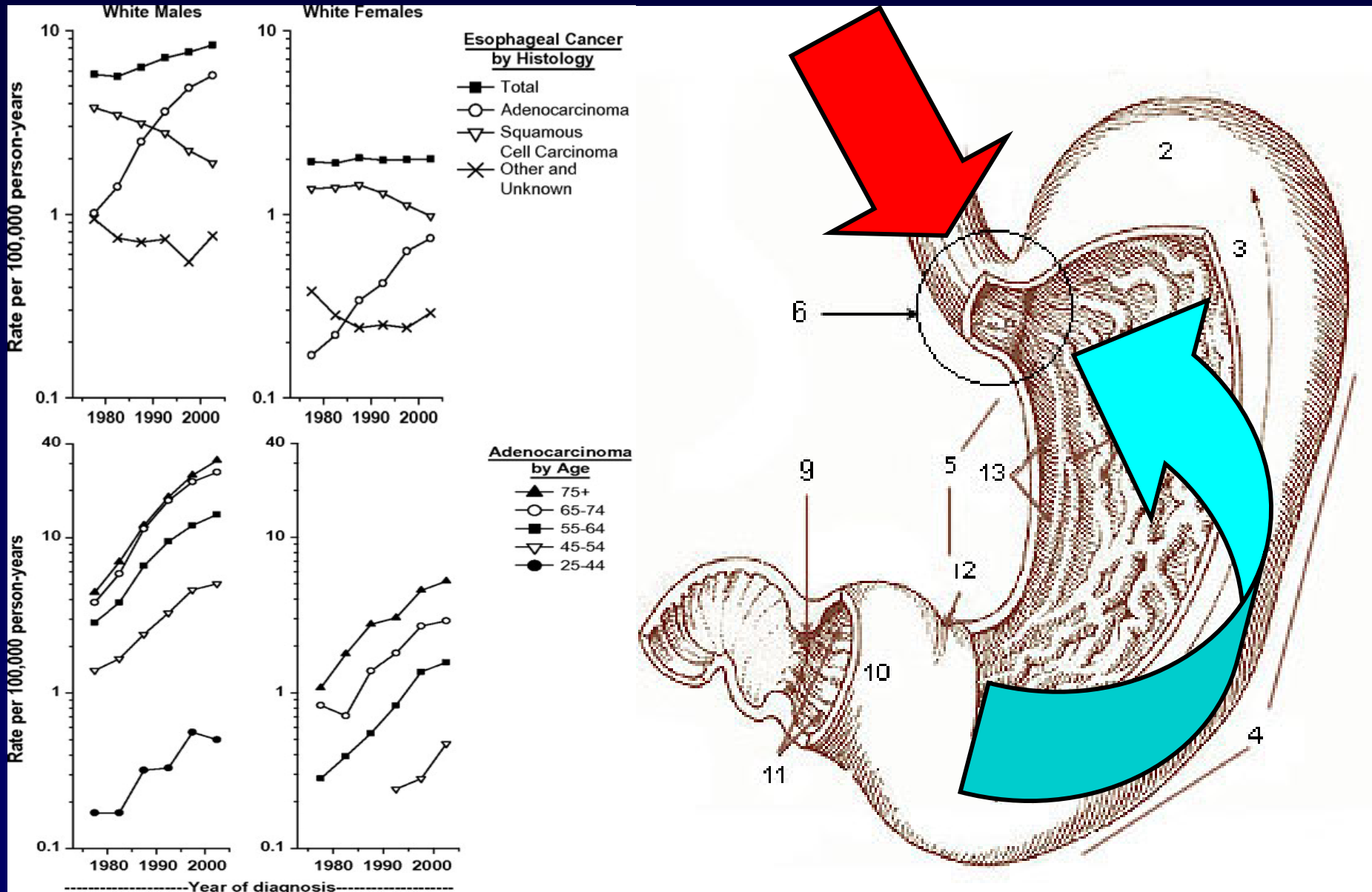


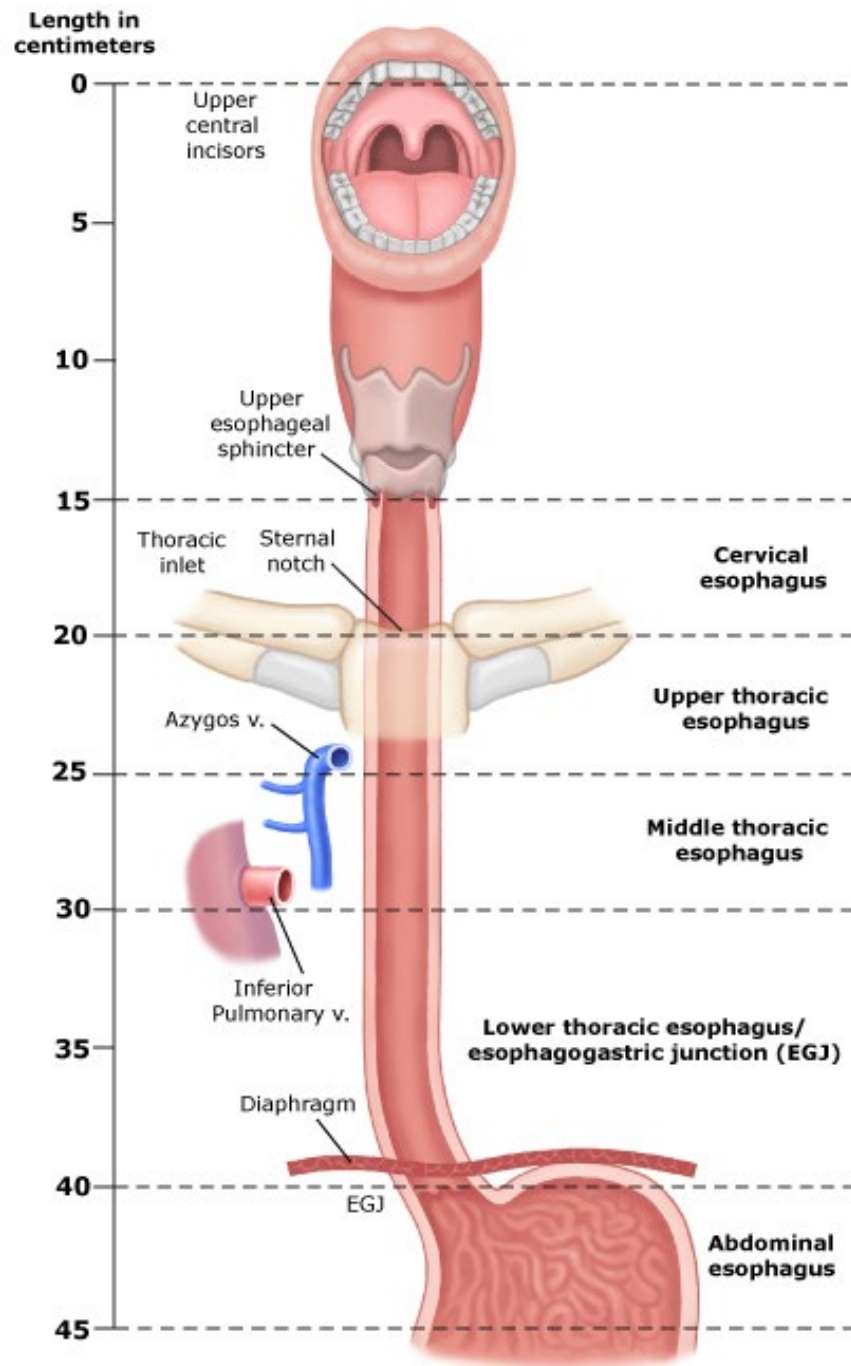
# Locally Advanced Esophageal and Gastric Cancer

**Peter C. Enzinger, MD**  
**Director, Center for Esophageal and Gastric Cancer**  
**Dana-Farber Cancer Institute**  
**Associate Professor, Harvard Medical School**

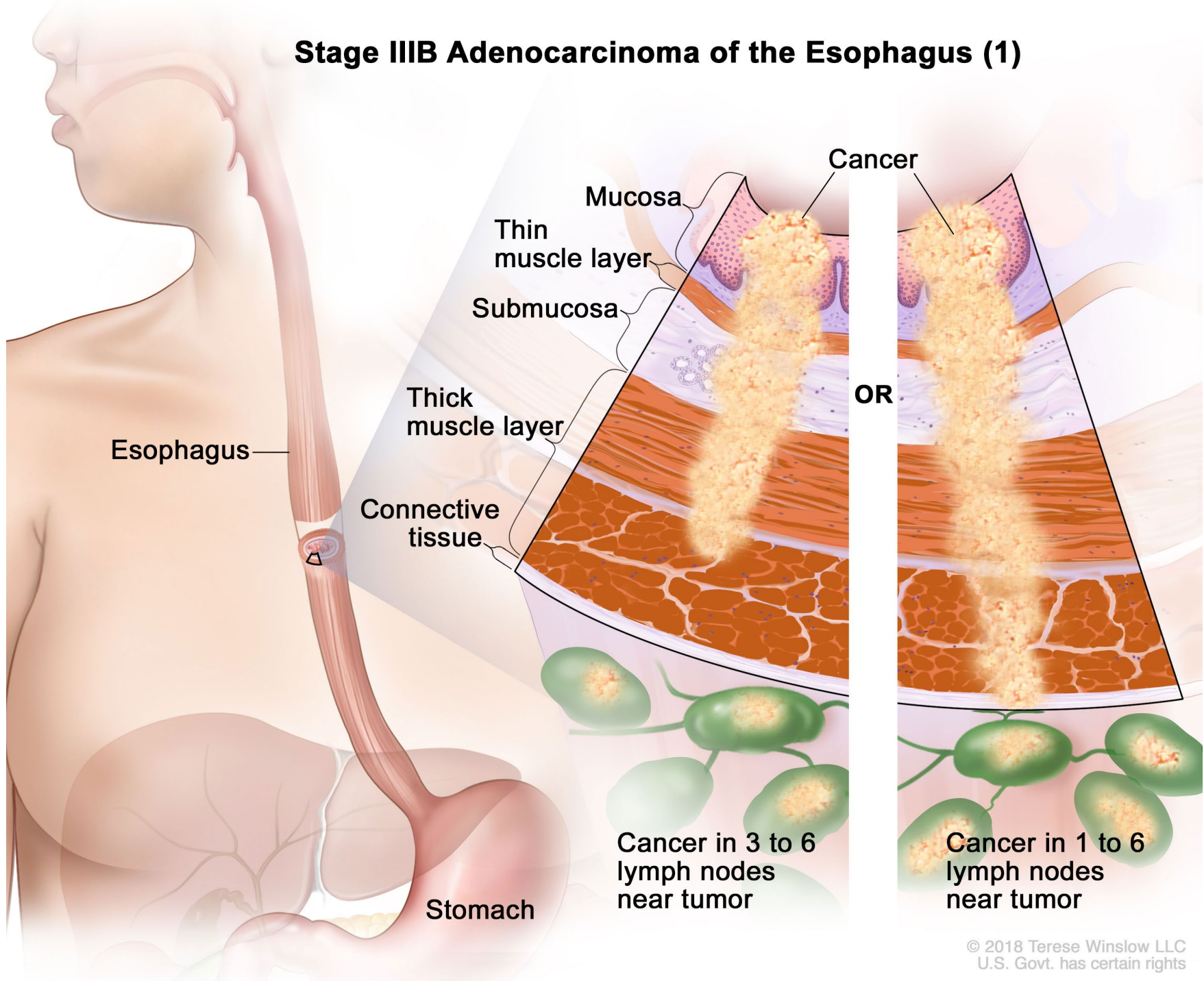
# Trends in Esophagogastric Cancer:



# Esophageal Cancer



# Stage IIIB Adenocarcinoma of the Esophagus (1)

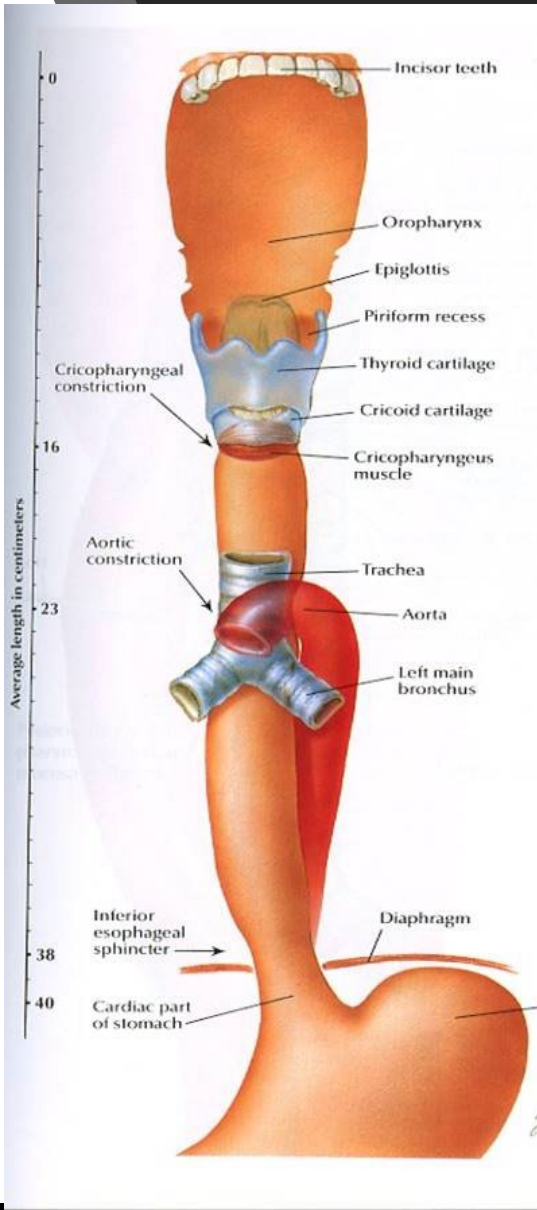


# What are the treatment modalities for locally advanced esophageal cancer?

- Surgery
  - Ivor-Lewis
  - 3-hole
  - Transhiatal
  - Minimally invasive
  - Robotic
- Radiation Therapy
  - External Beam Radiation Therapy
    - Conventional
    - IMRT
    - Protons
- Chemotherapy
- Immunotherapy
  - Checkmate 577 – nivolumab vs placebo

**Surgery**

# What is an Esophagectomy



- The esophagus is the conduit between the mouth and the stomach
- It traverses the chest next to the spine
- It extends 2-4 cm into the abdomen before becoming the stomach
- An esophagectomy is removal of MOST of the esophagus.
- A gastrectomy is removal of the stomach





# ESOPHAGECTOMY



- Best approach remains controversial
- Chosen technique depends on multiple factors
- Historically was one of the highest incidences of mortality and morbidity

Miller et al. Surg Clin North Am. 1997



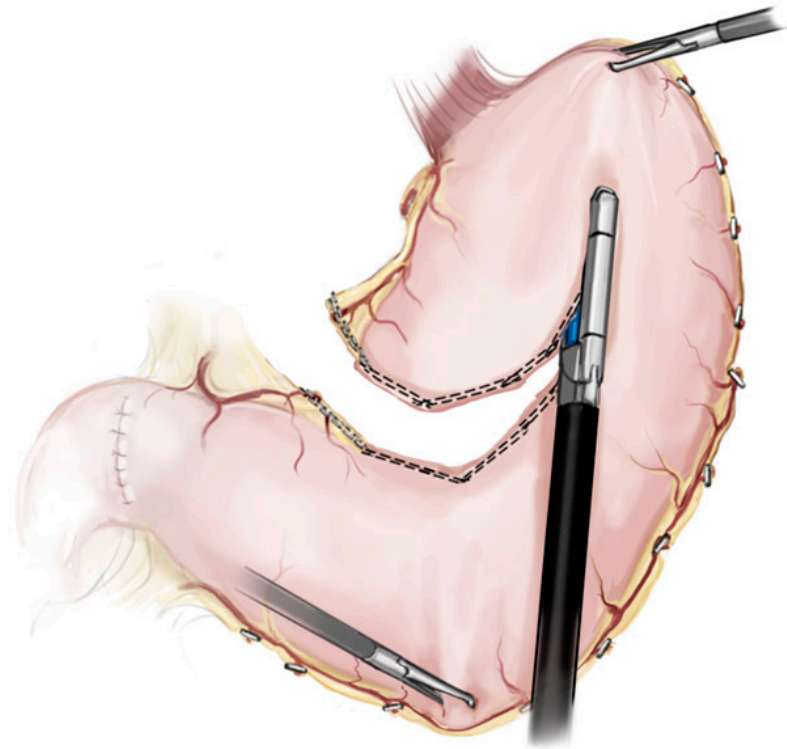
# ESOPHAGECTOMY



- ... better surgical techniques developed, safer more reliable options came about
- Multiple different approaches
    - Thoracotomy/Laparotomy (Ivor Lewis)
    - 3-Hole ( Thoracotomy, Laparotomy, neck)
    - Left Thoracoabdominal
    - Transhiatal



## Laparoscopic Steps: Gastric Tubularization, Celiac node dissection, stapling of left gastric vessels





# ESOPHAGECTOMY

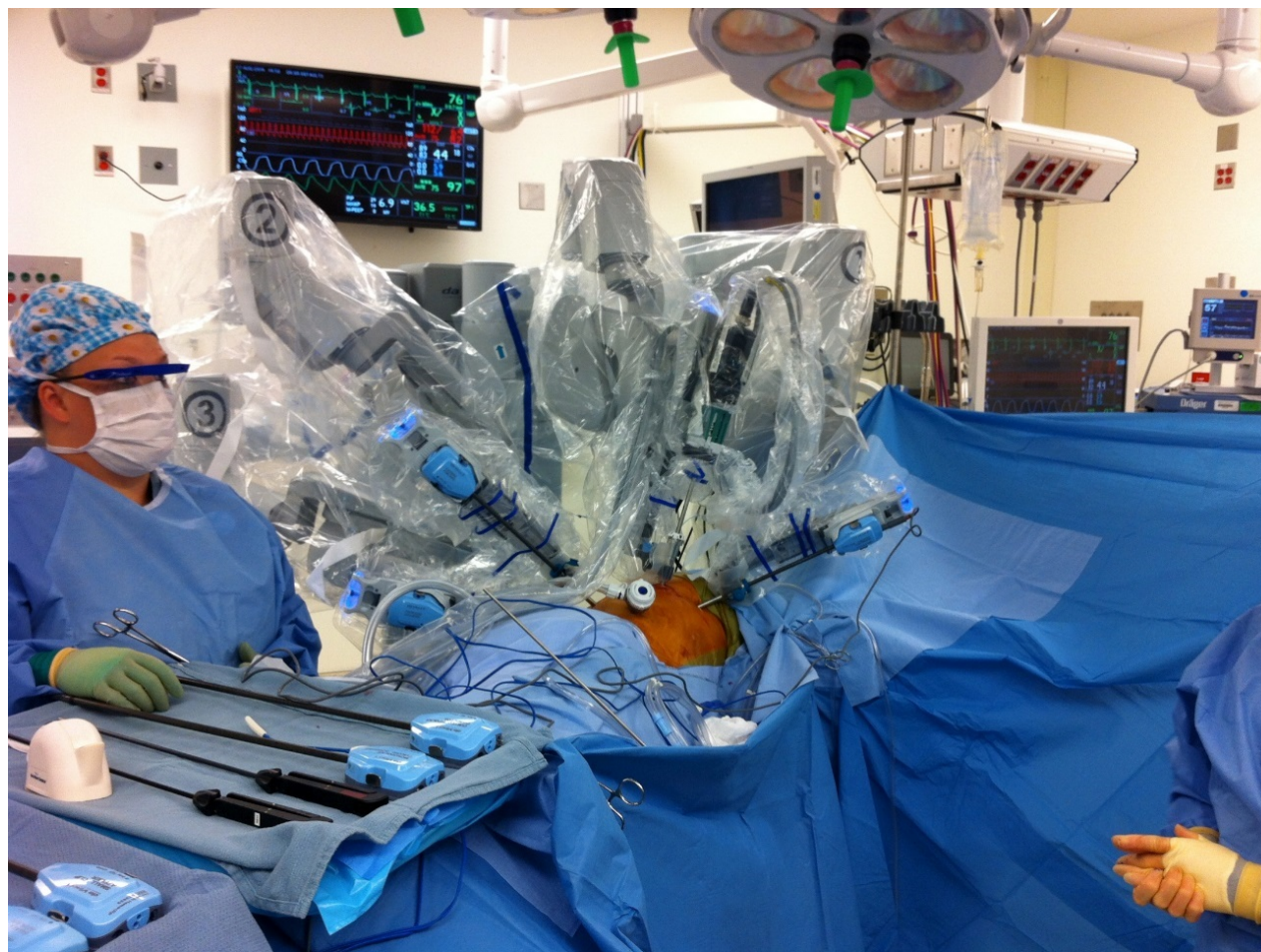


- Minimally invasive approaches are feasible and safe
- Reduced mortality and morbidity rates
- Less Blood loss
- Less respiratory complications
- Similar oncologic results

Luketich et al. J Soc Laparoendosc Surg. 1998  
Nguyen et al. J Am Coll Surg. 1999  
Patty et al. World J Gastroenterol 2010

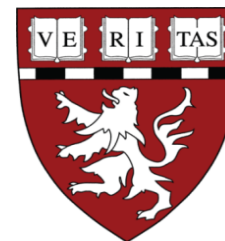


# Robotic Esophagectomy





# Postoperative Complications

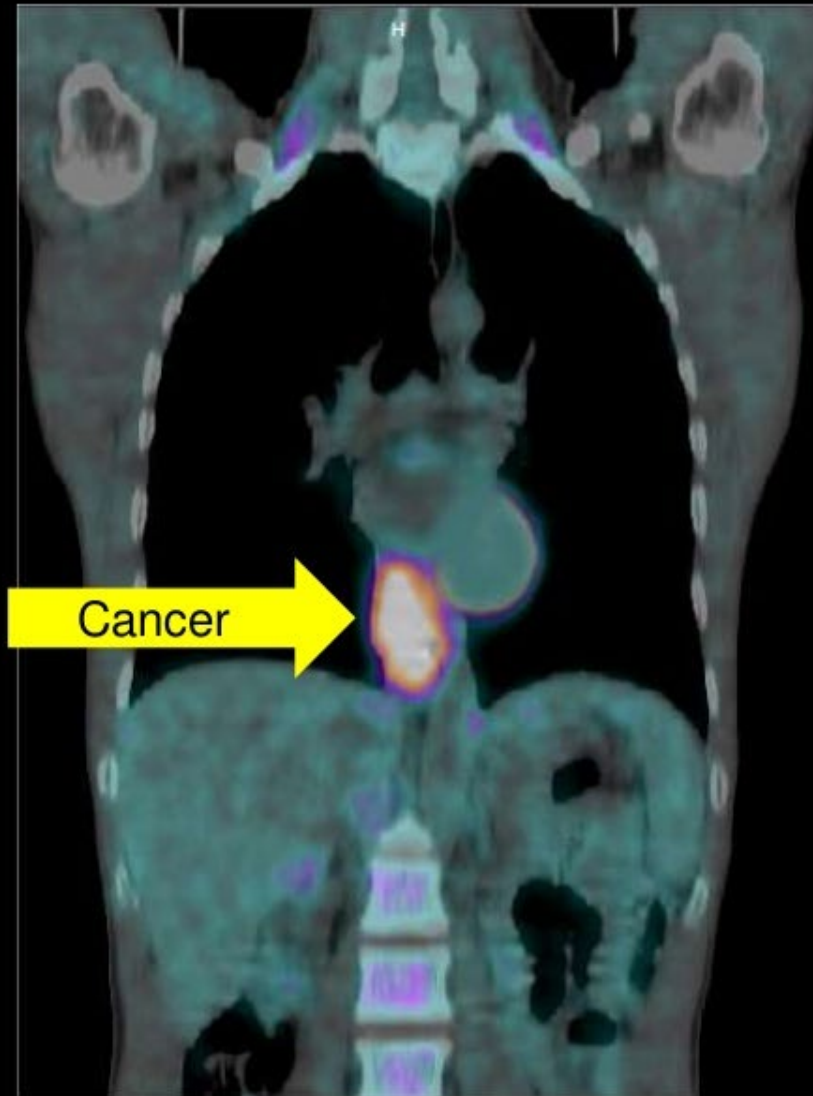


|  | Hybrid (103)<br>(N) | Converted (51)<br>(N) | MIE (200)<br>(N) |
|--|---------------------|-----------------------|------------------|
| <b>Patients with Postoperative Complications</b> | 77 (74.8%)          | 34 (66.7%)            | 147 (73.5%)      |
| <b>Cardiac</b>                                   | 27 (26.2%)          | 7 (13.7%)             | 53 (26.5%)       |
| <b>Pulmonary</b>                                 | 26 (25.2%)          | 12 (23.5%)            | 58 (29.0%)       |
| <b>Gastrointestinal</b>                          | 11 (10.7%)          | 3 (5.9%)              | 20 (10.0%)       |
| <b>Bleeding</b>                                  | 2 (1.9%)            | 0 (0.0%)              | 4 (2.0%)         |
| <b>Vocal Cord Paralysis/Paresis</b>              | 17 (16.5%)          | 5 (9.8%)              | 9 (4.5%)         |
| <b>Chylothorax</b>                               | 11 (10.7%)          | 2 (3.9%)              | 12 (6.0%)        |
| <b>Wound Infection</b>                           | 9 (8.7%)            | 9 (17.6%)             | 29 (14.5%)       |
| <b>Anastomotic and Conduit Complications</b>     | 13 (12.6%)          | 9 (17.6%)             | 36 (18.0%)       |
| <b>Deep Vein Thrombosis</b>                      | 6 (5.8%)            | 3 (5.9%)              | 9 (4.5%)         |
| <b>30 Day Mortality (N)</b>                      | 1 (1.0%)            | 1 (2.0%)              | 1 (0.5%)         |
| <b>90 Day Mortality (N)</b>                      | 6 (5.8%)            | 3 (5.9%)              | 5 (2.5%)         |

# Radiation Therapy

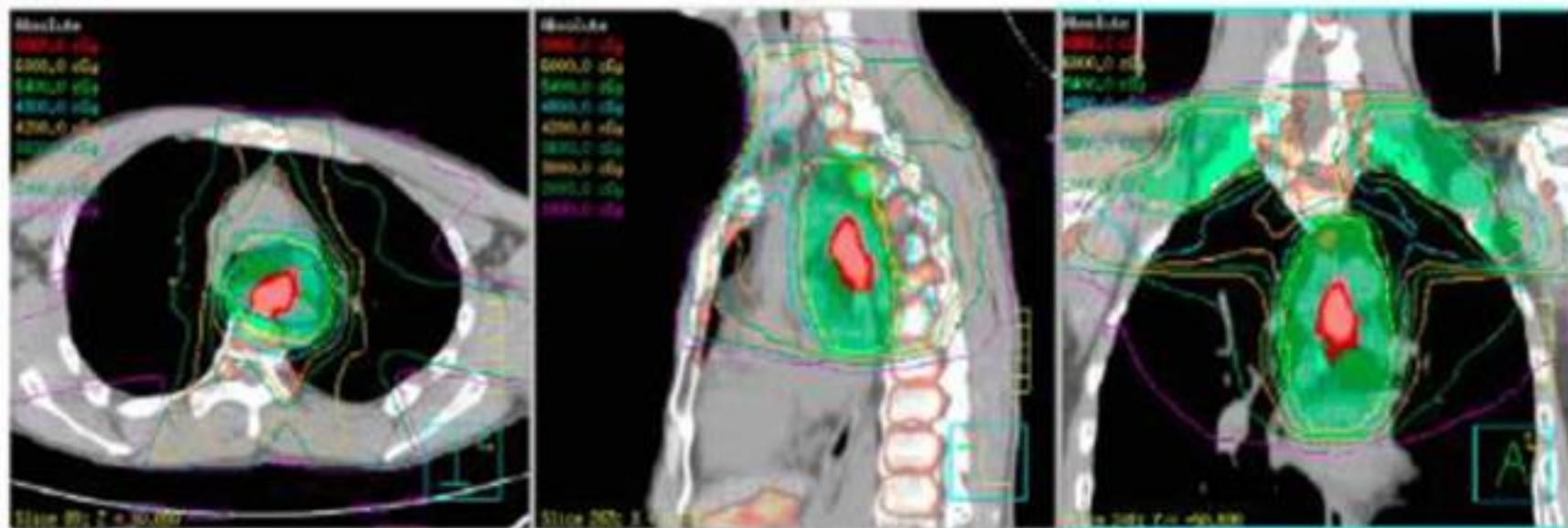


# Start with PET – CT images of Cancer Target





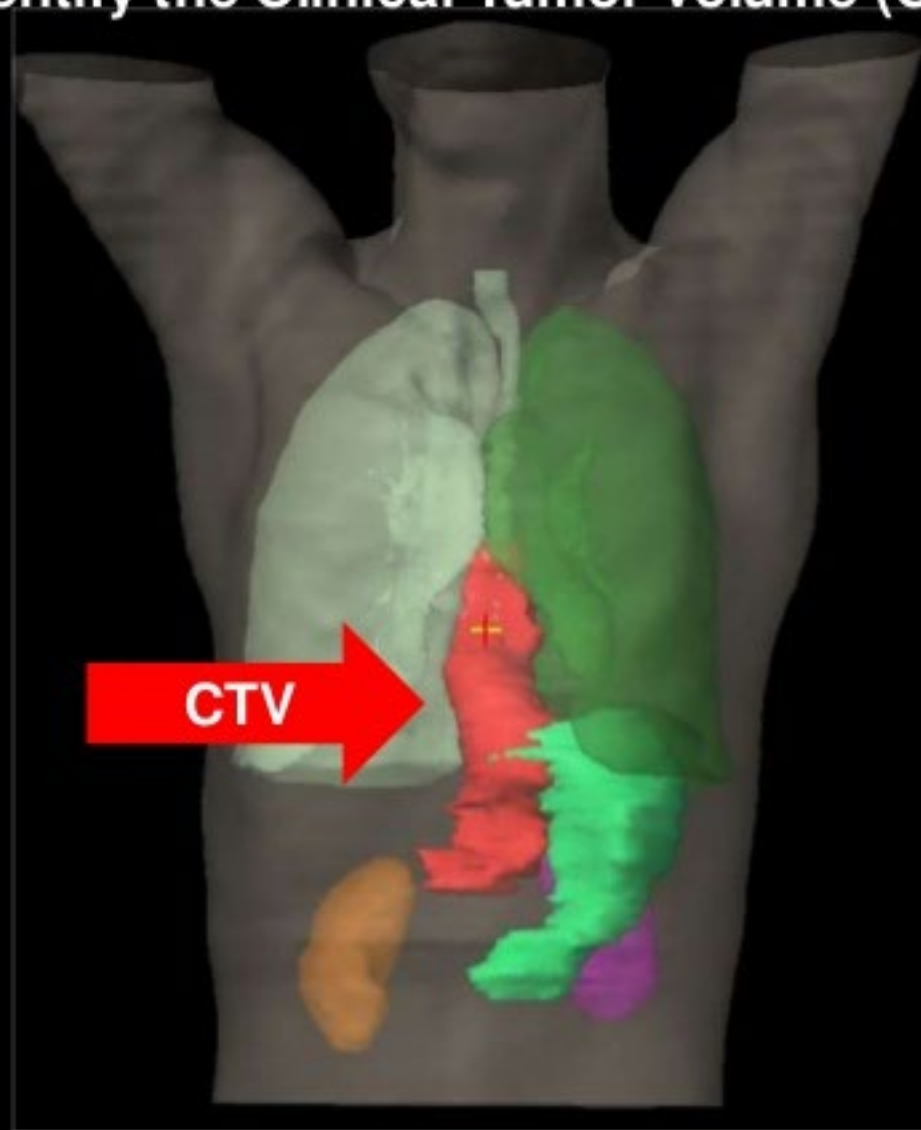
(a)



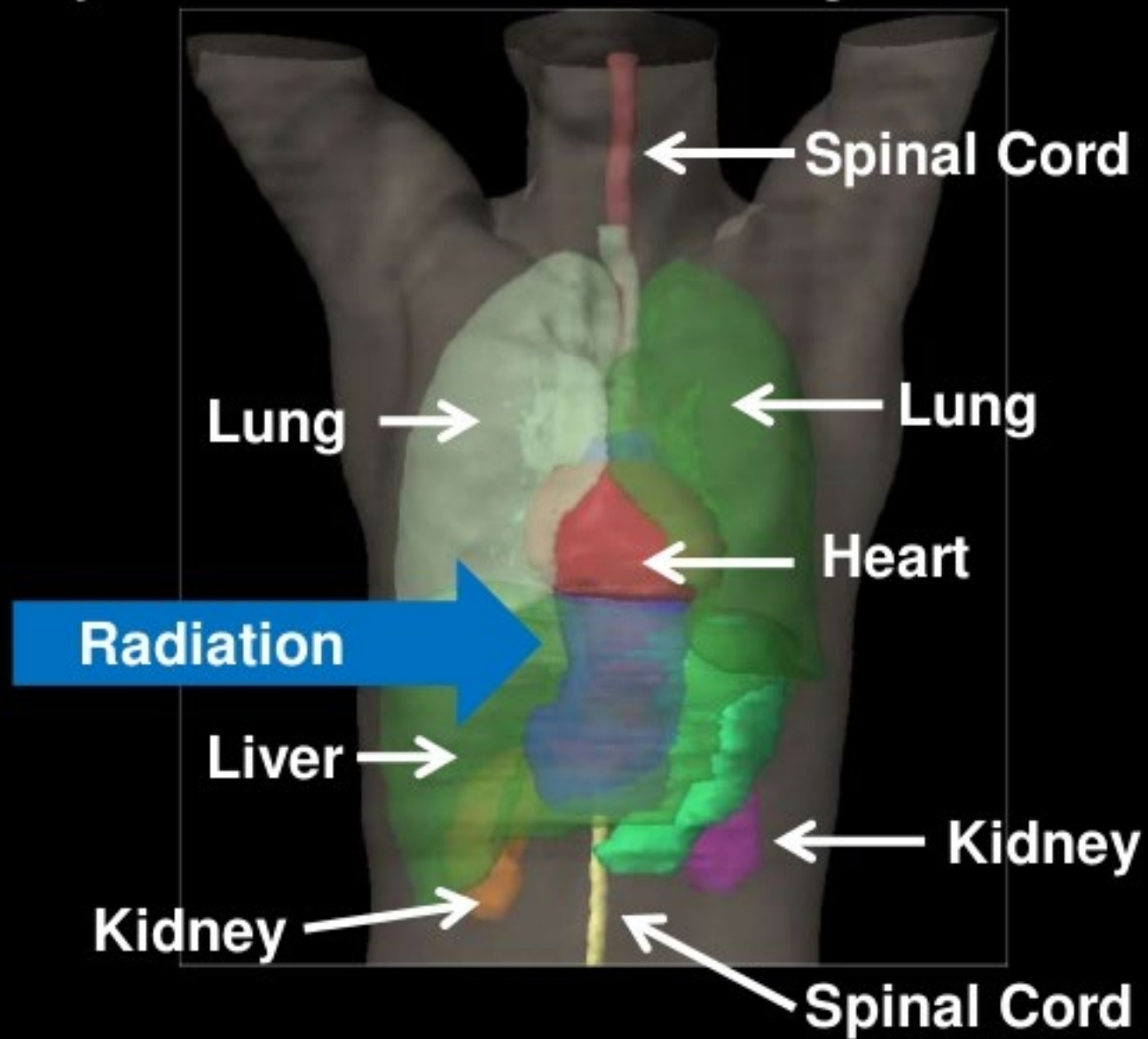
(b)



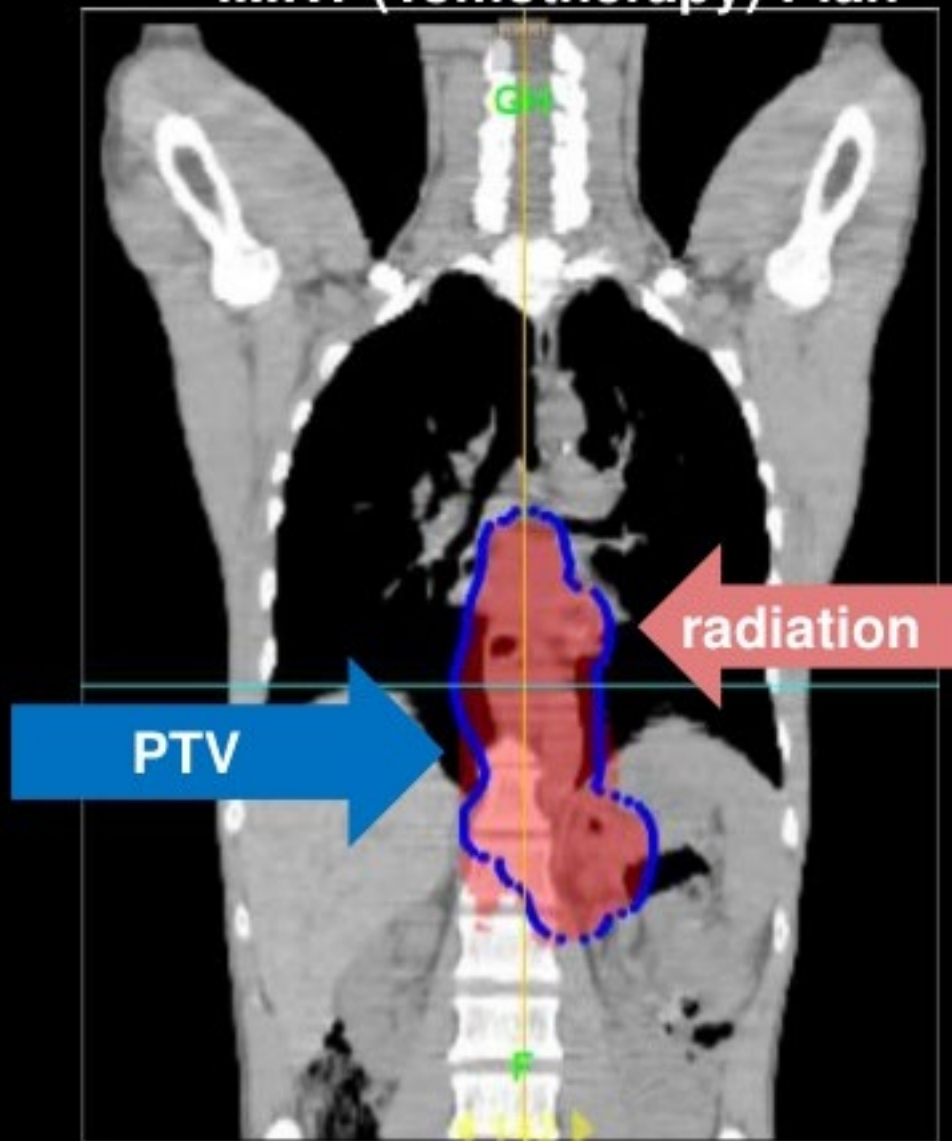
## Identify the Clinical Tumor Volume (CTV)



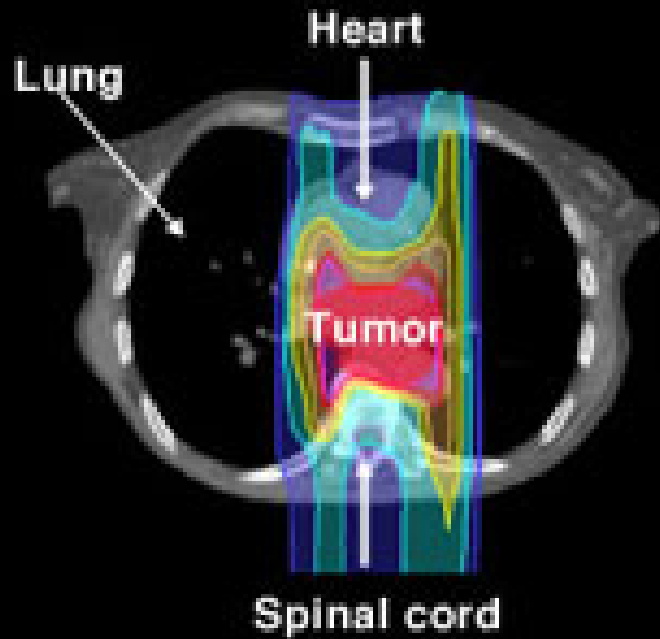
# Identify the Normal Structures that Might be Affected



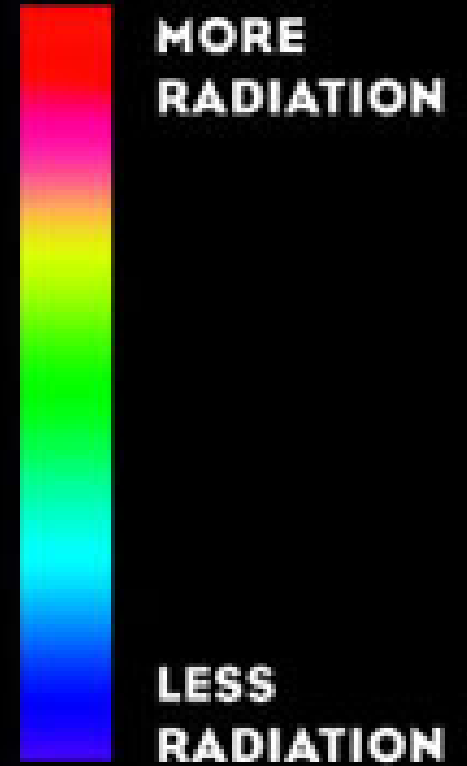
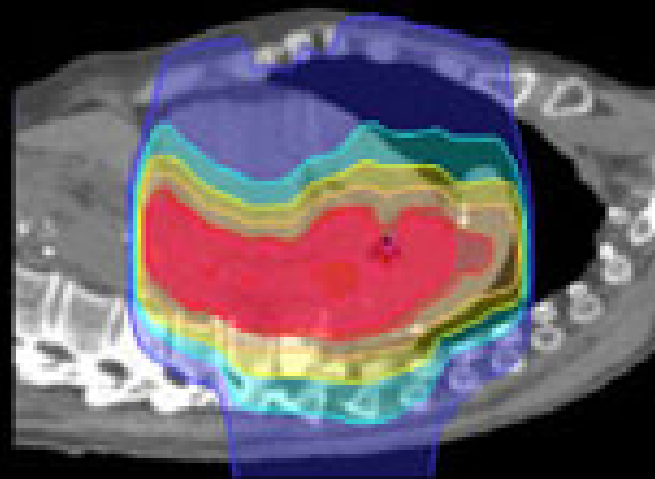
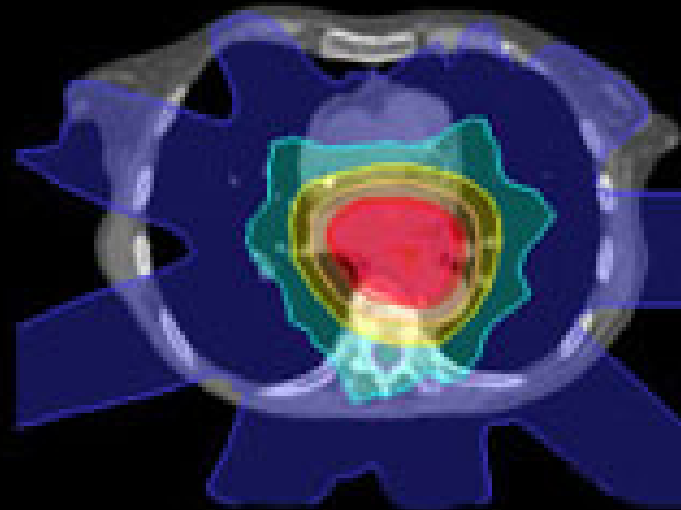
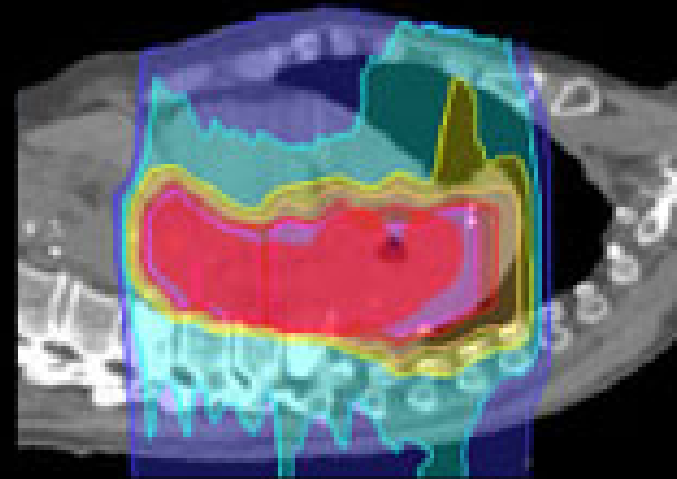
# IMRT (Tomotherapy) Plan



## Protons



## X-rays/IMRT

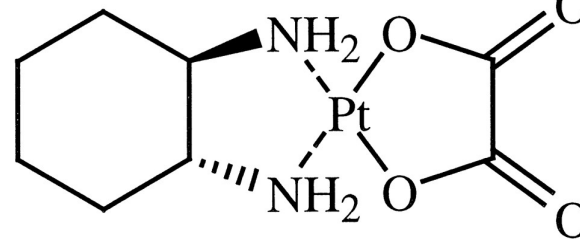
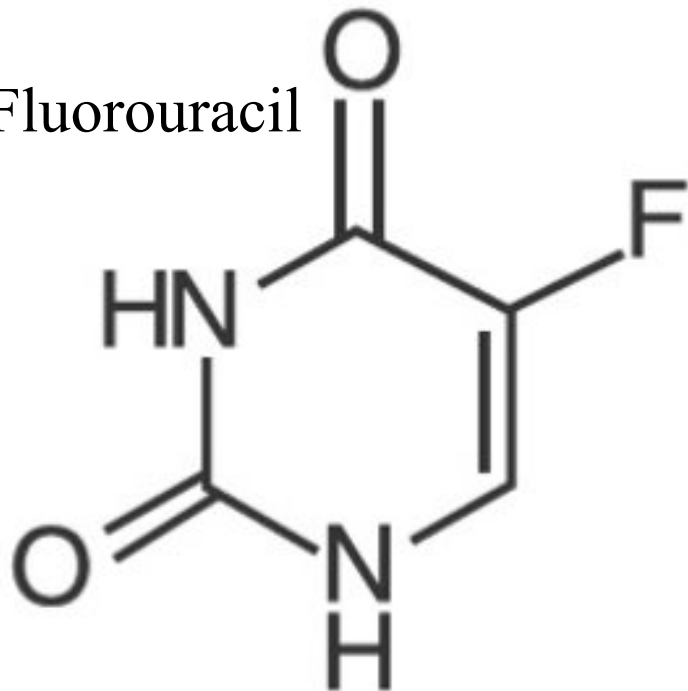




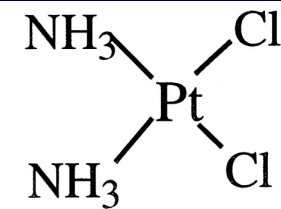
# Chemotherapy



Fluorouracil

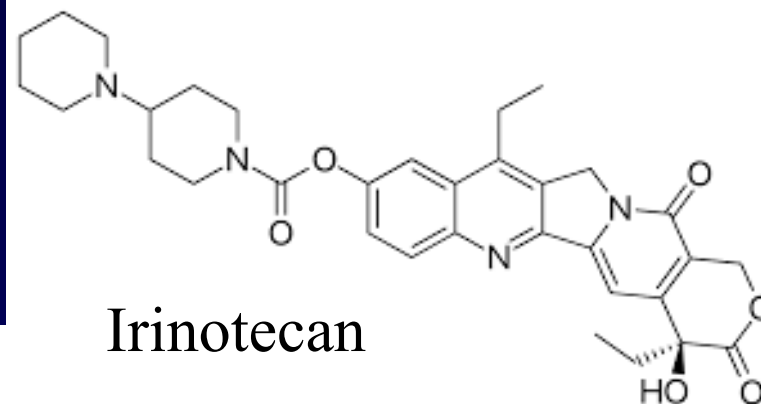


Oxaliplatin

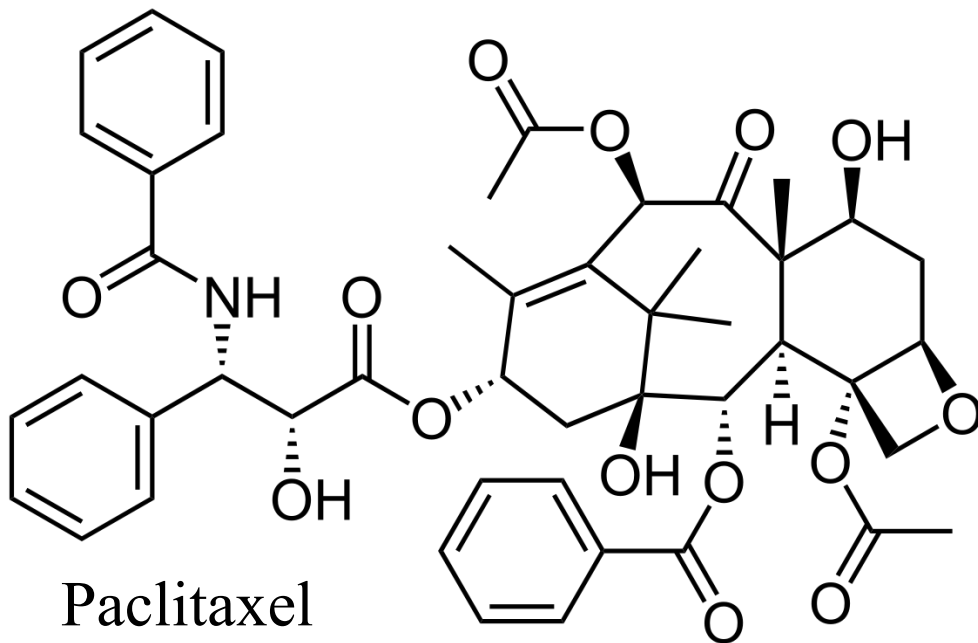


Cisplatin

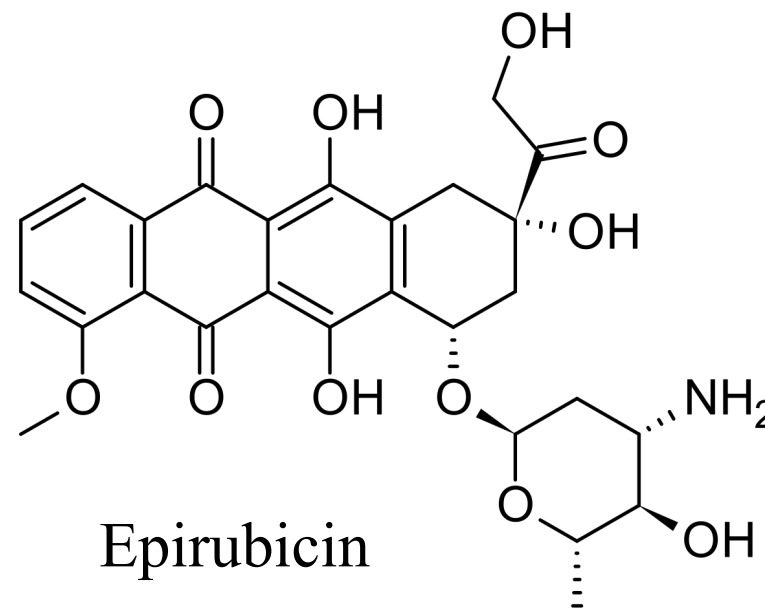
Chemo



Irinotecan



Paclitaxel



Epirubicin





*Alkylating agents* keep the cell from reproducing by damaging its DNA. These drugs work in all phases of the cell cycle and are used to treat many different cancers



*Anthracyclines*: Anthracyclines are anti-tumor antibiotics that interfere with enzymes involved in copying DNA during the cell cycle. (Enzymes are proteins that start, help, or speed up the rate of chemical reactions in cells.)



*Antimetabolites* interfere with DNA and RNA growth by substituting for the normal building blocks of RNA and DNA. These agents damage cells during the phase when the cell's chromosomes are being copied.



These drugs interfere with enzymes called topoisomerases, which help separate the strands of DNA so they can be copied.



*Mitotic inhibitors* are compounds derived from natural products, such as plants. They work by stopping cells from dividing to form new cells

# Localized Esophageal Cancer

Does (Neo)Adjuvant  
Chemotherapy  
Improve Surgical Outcomes?

# Neoadjuvant Chemotherapy Compared with Surgery Alone for Localized Esophageal Cancer

## Squamous-cell carcinoma

|                       |            |            |
|-----------------------|------------|------------|
| Roth <sup>21</sup>    | 19         | 20         |
| Nygaard <sup>8</sup>  | 56         | 25         |
| Schlag <sup>26</sup>  | 22         | 24         |
| Maipang <sup>24</sup> | 24         | 22         |
| Law <sup>20</sup>     | 74         | 73         |
| Boonstra <sup>9</sup> | 85         | 84         |
| Kelsen <sup>8</sup>   | 103        | 110        |
| Ancona <sup>21</sup>  | 48         | 48         |
| Allum <sup>1</sup>    | 123        | 124        |
| <b>Total</b>          | <b>554</b> | <b>530</b> |

Heterogeneity:  $\chi^2=14.70$ ,  $df=8$  ( $p=0.07$ );  $I^2=46\%$

Test for overall effect:  $Z=1.34$  ( $p=0.18$ )

## Adenocarcinoma

|                     |            |            |
|---------------------|------------|------------|
| Kelsen <sup>8</sup> | 120        | 124        |
| Allum <sup>1</sup>  | 265        | 268        |
| Ychou <sup>7</sup>  | 85         | 84         |
| <b>Subtotal</b>     | <b>470</b> | <b>476</b> |

Heterogeneity:  $\chi^2=2.83$ ,  $df=2$  ( $p=0.24$ );  $I^2=29\%$

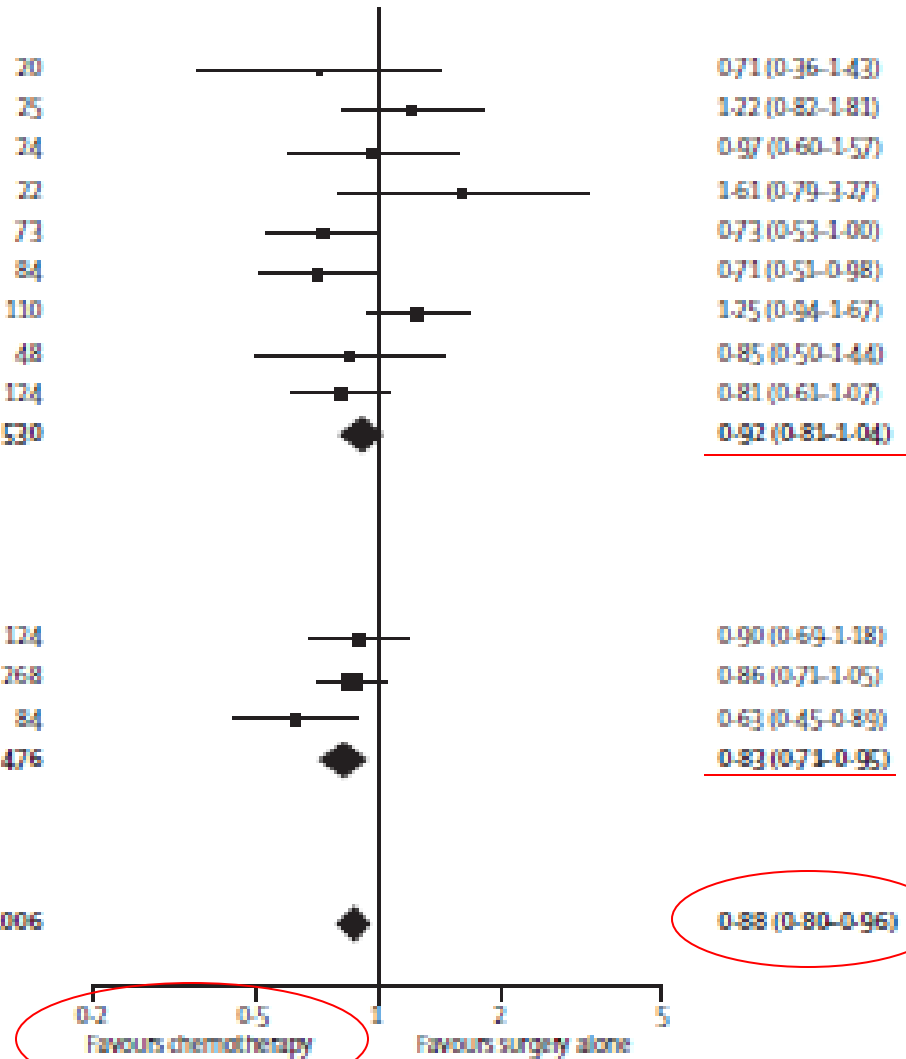
Test for overall effect:  $Z=2.58$  ( $p=0.01$ )

|              |             |             |
|--------------|-------------|-------------|
| <b>Total</b> | <b>1024</b> | <b>1006</b> |
|--------------|-------------|-------------|

Heterogeneity:  $\chi^2=18.68$ ,  $df=11$  ( $p=0.07$ );  $I^2=41\%$

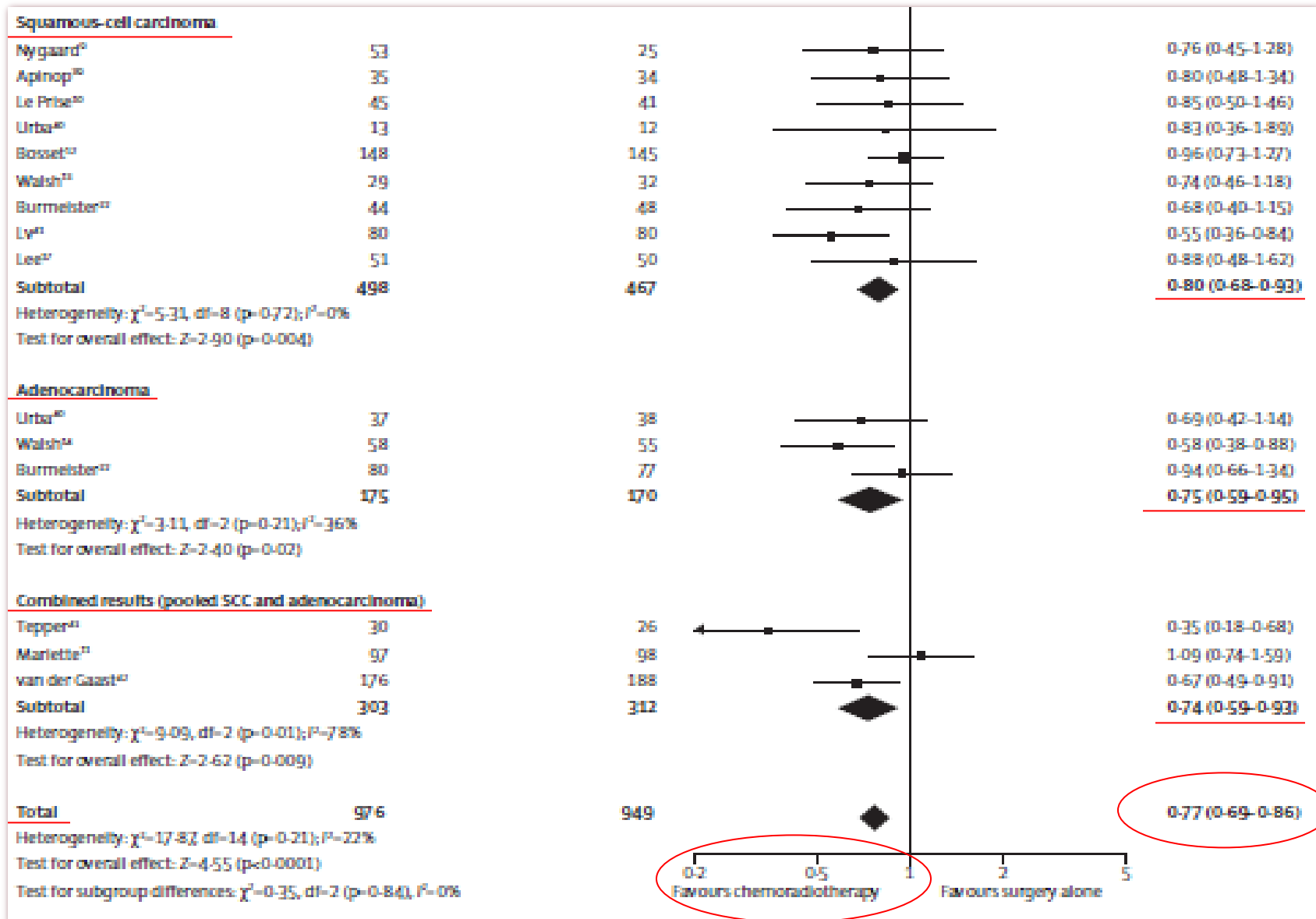
Test for overall effect:  $Z=2.71$  ( $p=0.007$ )

Test for subgroup differences:  $\chi^2=1.14$ ,  $df=1$  ( $p=0.29$ );  $I^2=12.4\%$



**Does Neoadjuvant  
Chemoradiation  
Therapy Improve  
Surgery Outcomes?**

# All-Cause Mortality Estimates for Neoadjuvant C/RT Compared with Surgery Alone



Sjoquist et al.  
Lancet Oncol 2011;  
12(7):681-92

# CROSS Study: Schema

|     | M      | T     | W     | T     | F     | S     | S     | M      | T     | W     | T     | F     | S     | S     | M      | T     | W     | T     | F     | S     | S     | M      | T     | W     | T     | F     | S     | S     | M      | T     | W     | T     | F     | S     | S     |
|-----|--------|-------|-------|-------|-------|-------|-------|--------|-------|-------|-------|-------|-------|-------|--------|-------|-------|-------|-------|-------|-------|--------|-------|-------|-------|-------|-------|-------|--------|-------|-------|-------|-------|-------|-------|
|     | Week 1 |       |       |       |       |       |       | Week 2 |       |       |       |       |       |       | Week 3 |       |       |       |       |       |       | Week 4 |       |       |       |       |       |       | Week 5 |       |       |       |       |       |       |
|     | Day 1  | Day 2 | Day 3 | Day 4 | Day 5 | Day 6 | Day 7 | Day 1  | Day 2 | Day 3 | Day 4 | Day 5 | Day 6 | Day 7 | Day 1  | Day 2 | Day 3 | Day 4 | Day 5 | Day 6 | Day 7 | Day 1  | Day 2 | Day 3 | Day 4 | Day 5 | Day 6 | Day 7 | Day 1  | Day 2 | Day 3 | Day 4 | Day 5 | Day 6 | Day 7 |
| XRT | ▼      | ▼     | ▼     | ▼     | ▼     |       |       | ▼      | ▼     | ▼     | ▼     | ▼     |       |       | ▼      | ▼     | ▼     | ▼     | ▼     |       |       | ▼      | ▼     | ▼     | ▼     | ▼     |       |       | ▼      | ▼     | ▼     |       |       |       |       |
| CTX | ■      |       |       |       |       |       |       | ■      |       |       |       |       |       |       | ■      |       |       |       |       |       |       | ■      |       |       |       |       |       |       | ■      |       |       |       |       |       |       |

- Chemoradiotherapy regimen:
  - Paclitaxel 50mg/m<sup>2</sup> + Carboplatin AUC=2 on days 1, 8, 15, 22 and 29
  - Concurrent radiotherapy of 41.4 Gy in 23 fractions of 1.8 Gy
- Surgery within 6 weeks after completion of chemoradiotherapy (THE/TTE)

## **CROSS Study: Overall survival**

---

**No residual cancer after Chemo+RT: 29%.**

**Median Survival was doubled with Chemo+ RT over surgery alone.**

**Median Survival was nearly quadrupled for patients with squamous cell carcinoma.**

**Median Survival was improved by 66% in adenocarcinoma patients.**

# CheckMate 577 study design

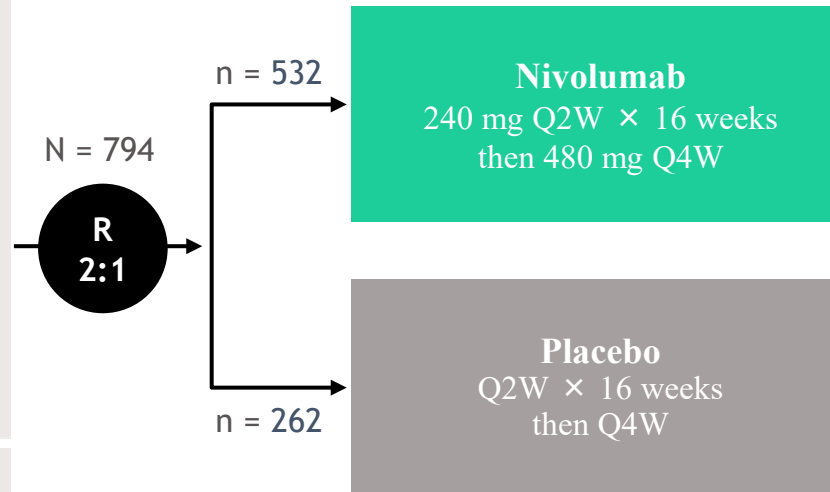
- CheckMate 577 is a global, phase 3, randomized, double-blind, placebo-controlled trial<sup>a</sup>

## Key eligibility criteria

- Stage II/III EC/GEJC
- Adenocarcinoma or squamous cell carcinoma
- Neoadjuvant CRT + surgical resection (R0,<sup>b</sup> performed within 4-16 weeks prior to randomization)
- Residual pathologic disease
  - $\geq$  ypT1 or  $\geq$  ypN1
- ECOG PS 0-1

## Stratification factors

- Histology (squamous vs adenocarcinoma)
- Pathologic lymph node status ( $\geq$  ypN1 vs ypN0)
- Tumor cell PD-L1 expression ( $\geq$  1% vs  $<$  1%)<sup>c</sup>



## Primary endpoint:

- DFS<sup>e</sup>

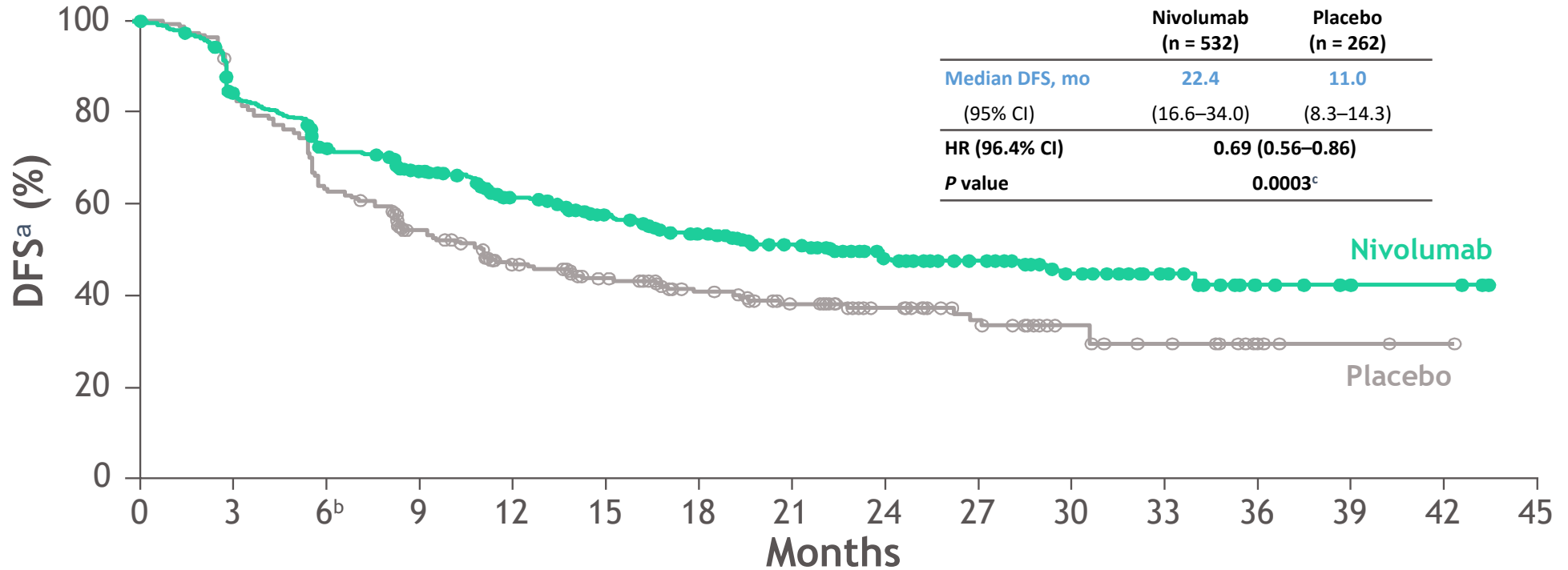
## Secondary endpoints:

- OS<sup>f</sup>
- OS rate at 1, 2, and 3 years

- Median follow-up was 24.4 months (range, 6.2–44.9)<sup>g</sup>
- Geographical regions: Europe (38%), US and Canada (32%), Asia (13%), rest of the world (16%)



# Disease-free survival



| No. at risk | 0   | 3   | 6 <sup>b</sup> | 9   | 12  | 15  | 18  | 21  | 24 | 27 | 30 | 33 | 36 | 39 | 42 | 45 |
|-------------|-----|-----|----------------|-----|-----|-----|-----|-----|----|----|----|----|----|----|----|----|
| Nivolumab   | 532 | 430 | 364            | 306 | 249 | 212 | 181 | 147 | 92 | 68 | 41 | 22 | 8  | 4  | 3  | 0  |
| Placebo     | 262 | 214 | 163            | 126 | 96  | 80  | 65  | 53  | 38 | 28 | 17 | 12 | 5  | 2  | 1  | 0  |

- Nivolumab provided superior DFS with a 31% reduction in the risk of recurrence or death and a doubling in median DFS versus placebo

<sup>a</sup>Per investigator assessment; <sup>b</sup>6-month DFS rates were 72% (95% CI, 68-76) in the nivolumab arm and 63% (95% CI, 57-69) in the placebo arm; <sup>c</sup>The boundary for statistical significance at the pre-specified interim analysis required the P value to be less than 0.036.

# Conclusions from these Studies

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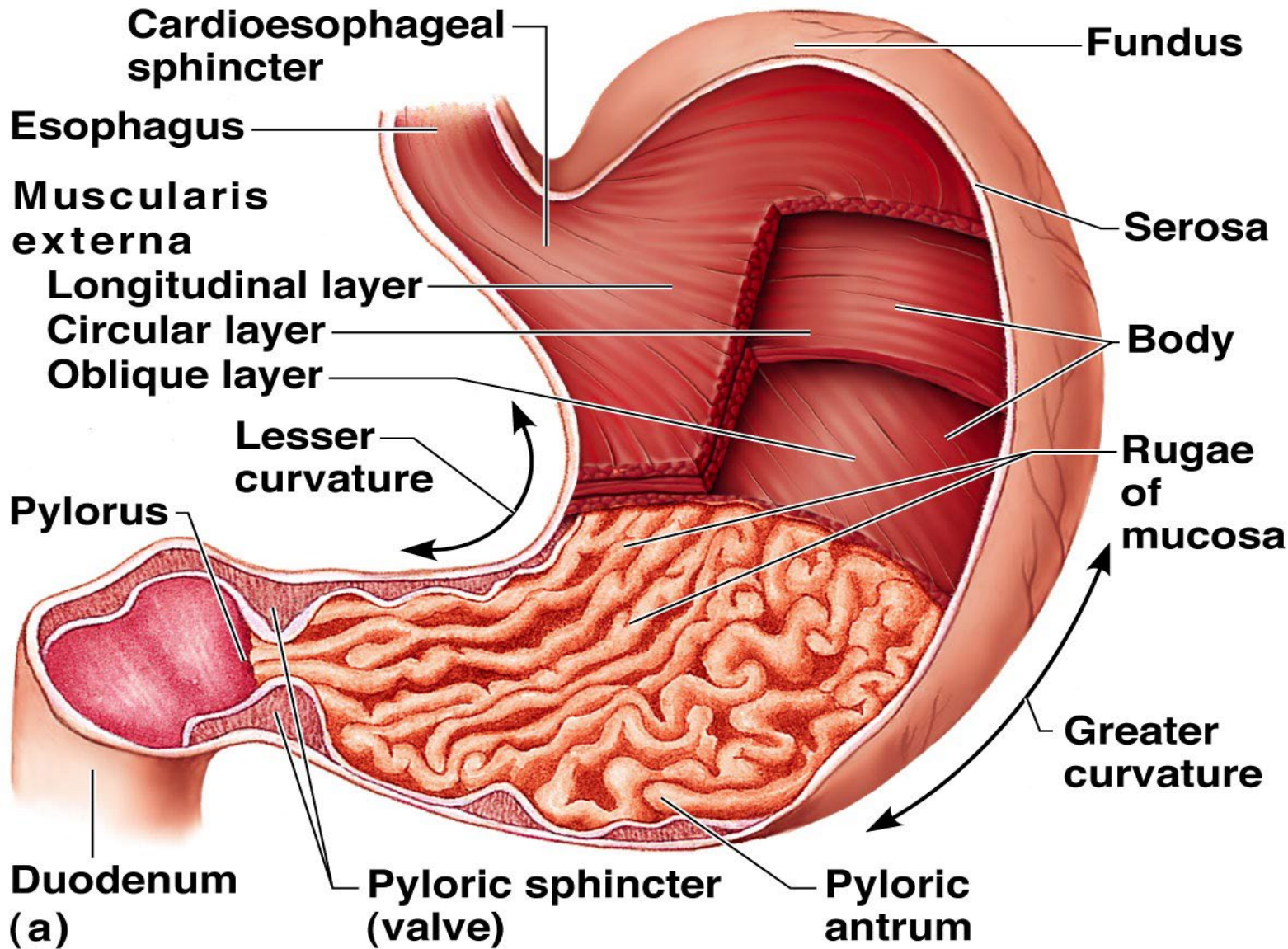
## Localized Esophageal

Pre-operative cisplatin/5-FU chemotherapy offers a small survival advantage in distal esophageal and GE junction cancer.

Neoadjuvant platinum-based chemoradiation (esp. w. carbo/tax) offers a greater survival advantage with better local control but increased surgical morbidity.

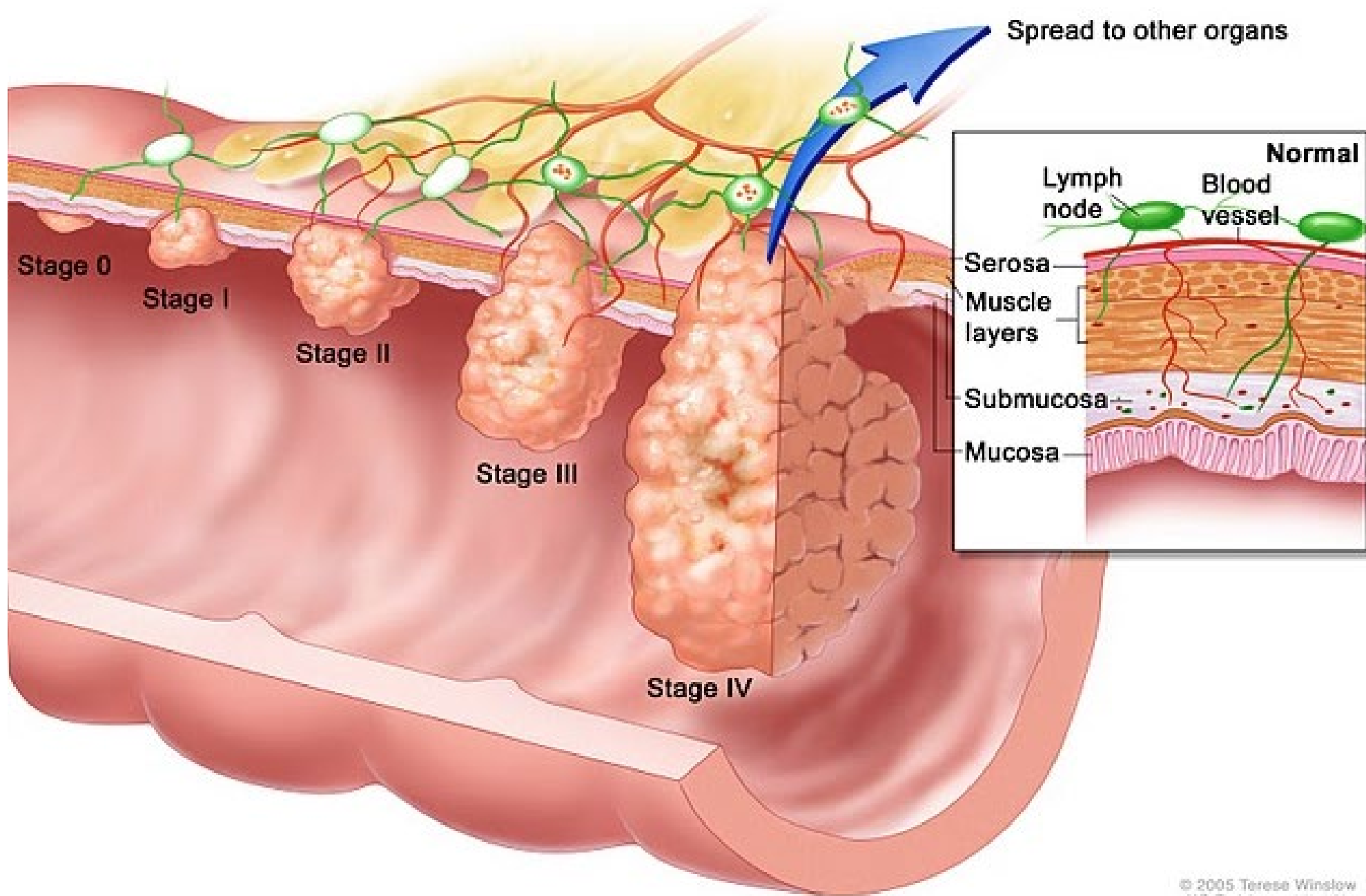
Post-operative therapy with nivolumab will likely get FDA approval next year and become standard of care.

# Gastric Cancer



The stomach can be divided into 4 regions:

1. **Cardia**
2. **Fundus**
3. **Body**
4. **Pylorus**

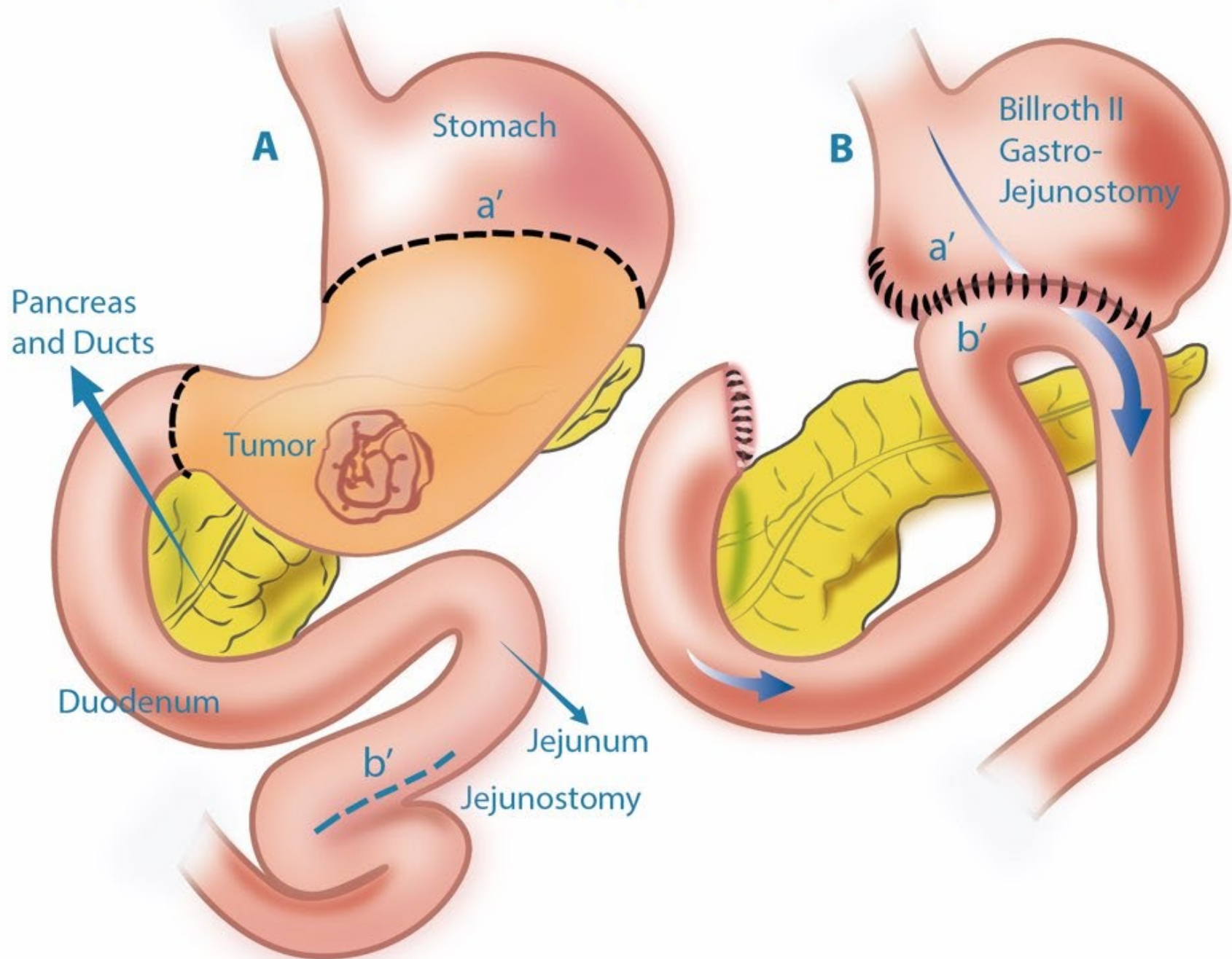


# What are the treatment modalities for locally advanced gastric cancer?

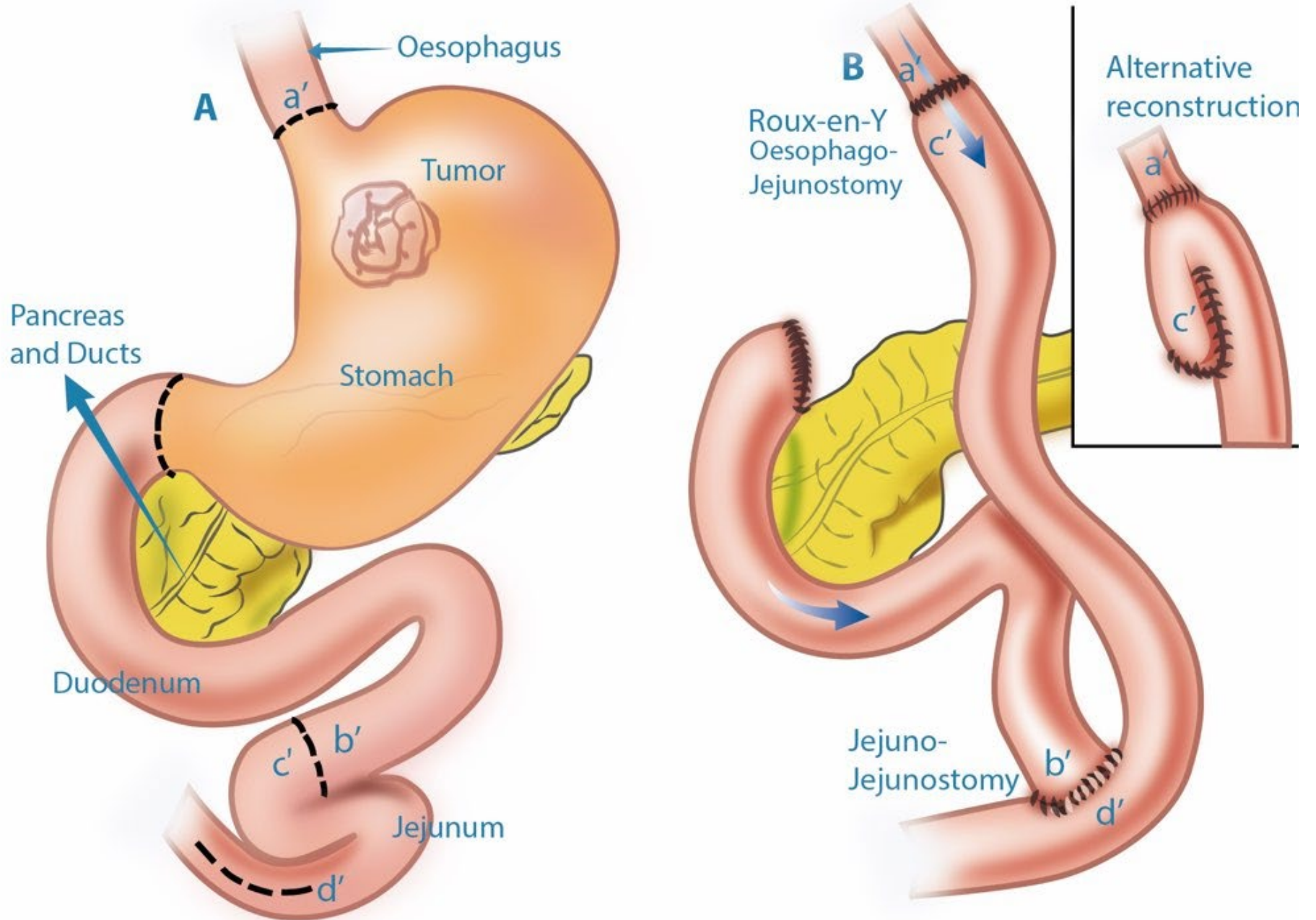
- Surgery
  - Subtotal gastrectomy
  - Total gastrectomy
  - Laparoscopic
  - Robotic
- Chemotherapy



# Partial gastrectomy

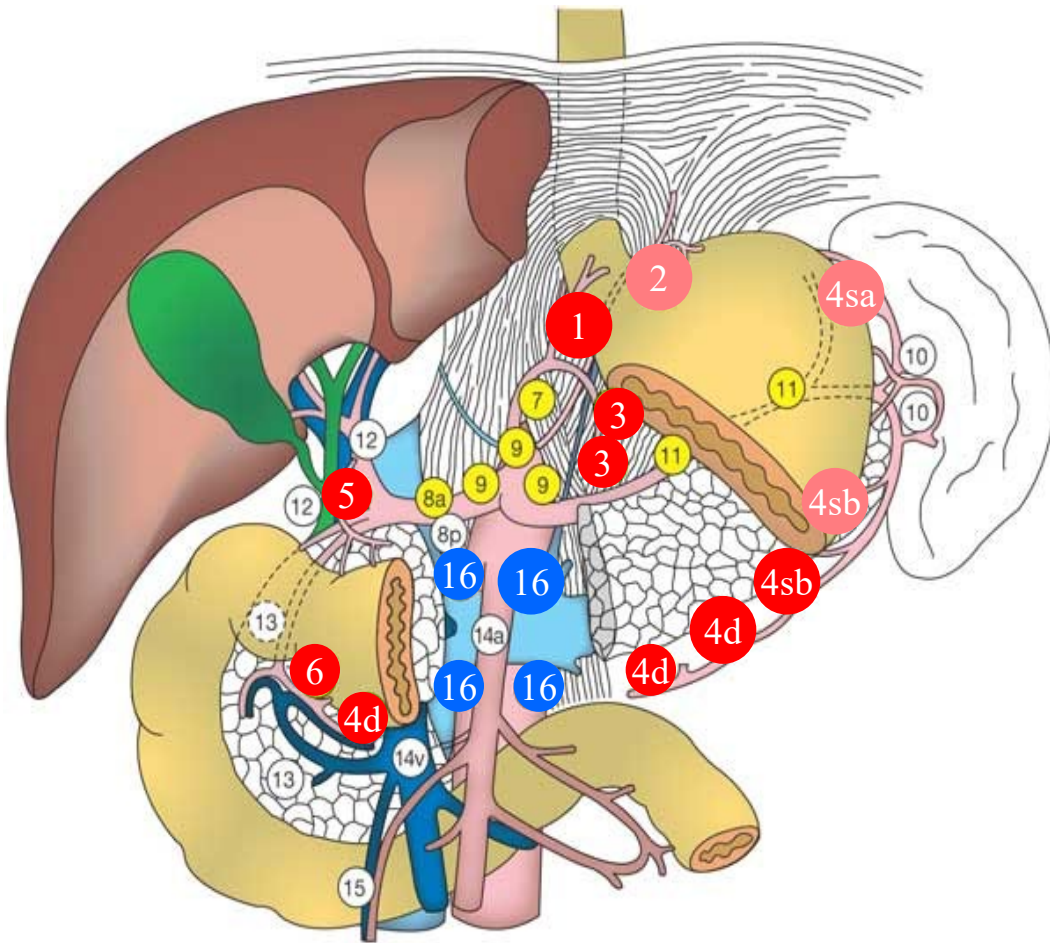


# Total gastrectomy





# What Is the Ideal Extent of Lymphadenectomy?



**D0** - removes less than all relevant **N1** nodes

**D1** - requires the dissection of the **N1** nodes (1 - 6)\*

**D2** - includes the **N1** and **N2** nodes (7–11)

**D3** – includes the **N1**, **N2**, and **N3** nodes (12-15)

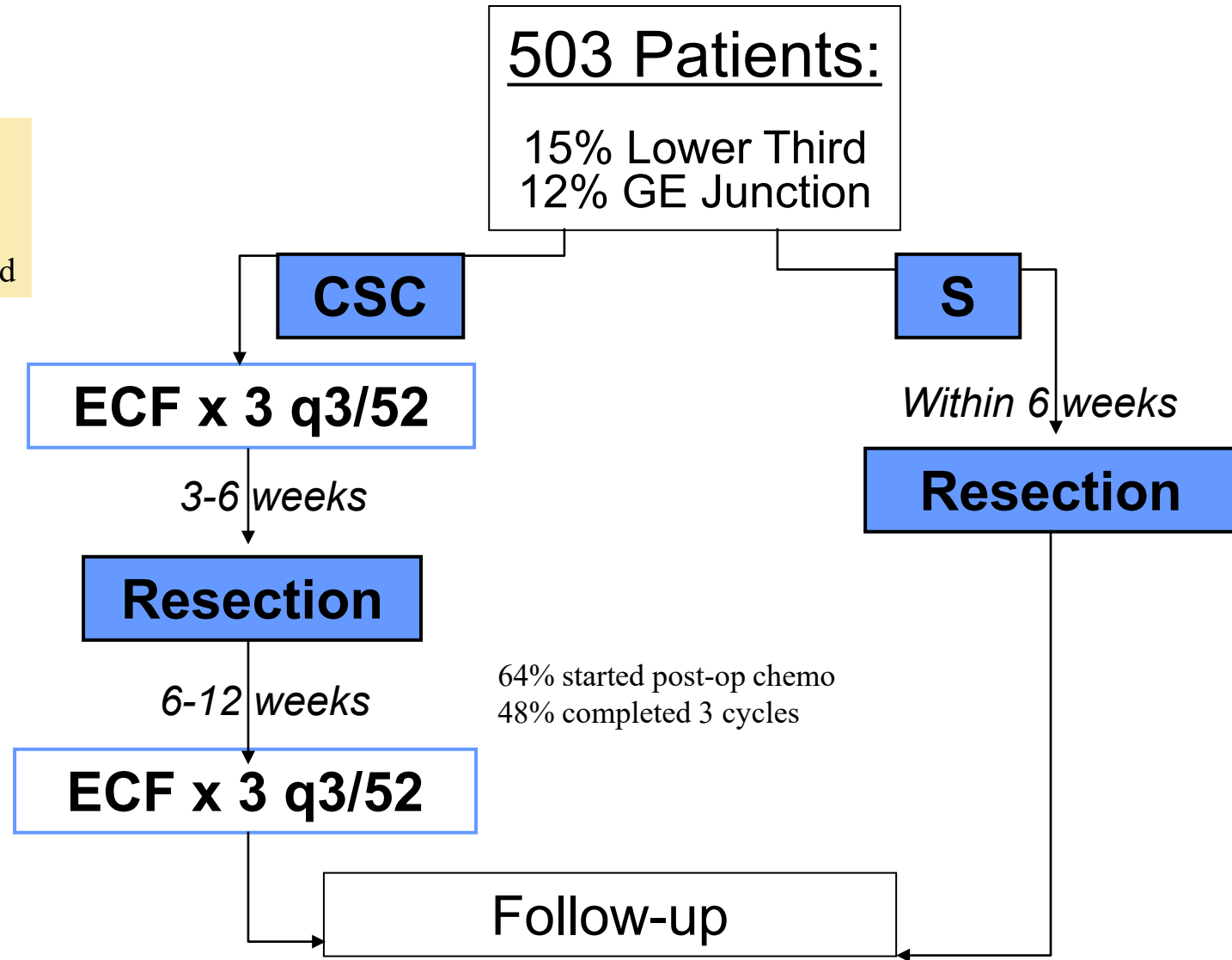
**D4** – includes the **N1**, **N2**, **N3** and **N4** nodes (16)

\*nodes 2, 4 remain if distal subtotal gastrectomy

**What are Proven Strategies to  
Enhance Outcomes for  
Surgical Resection?**

# MAGIC Trial: Schema

ECF q 3weeks:  
Epirubicin 50/-/s1  
Cisplatin 60/-/d1  
CI 5-FU 200/-/d x 21d



## **MAGIC: Survival**

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**2-Year Survival: 23% improvement for peri-operative chemotherapy over surgery alone.**

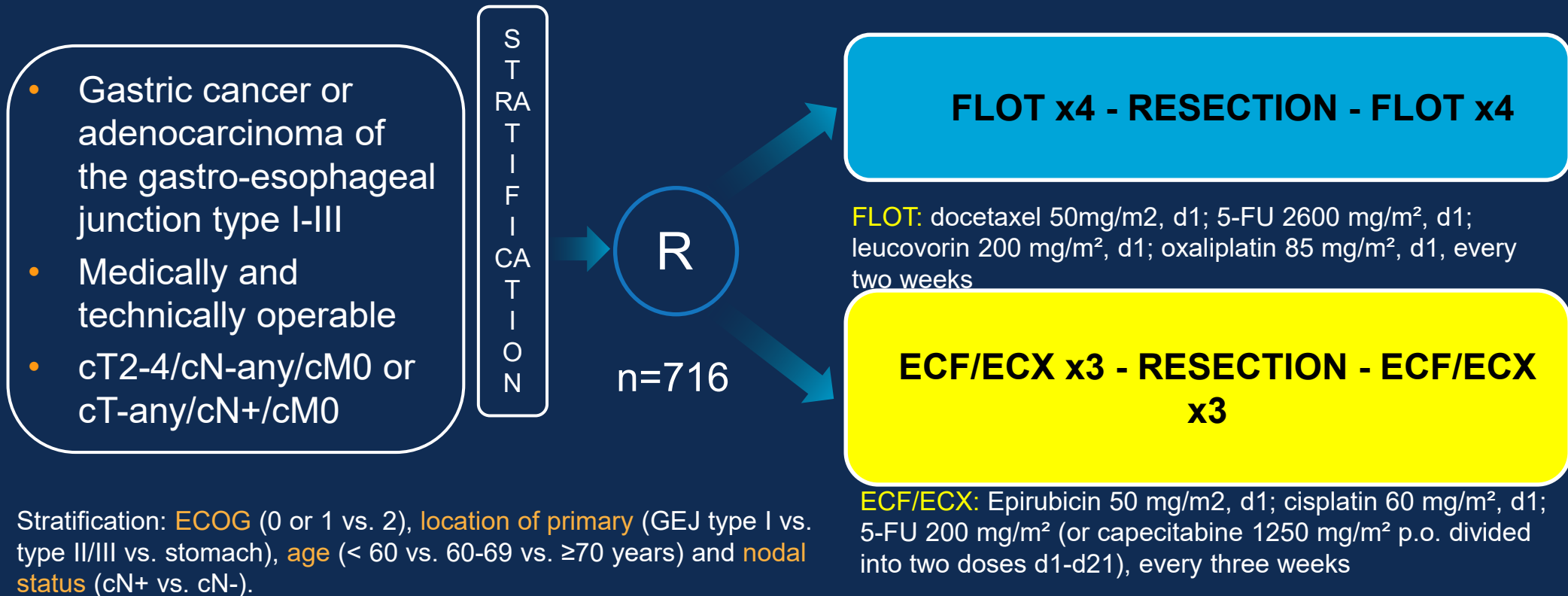
**5-Year Survival: 57% improvement for peri-operative chemotherapy over surgery alone.**

**Median Survival: 9 month improvement for MAGIC over surgery alone.**

# FLOT4 Study Design



Randomized, multicenter, investigator-initiated, phase II/III study



Presented by: Salah-Eddin Al-Batran

## **FLOT: Survival**

---

**2-Year Survival: 15% improvement for peri-operative FLOT over peri-operative MAGIC.**

**5-Year Survival: 25% improvement for peri-operative FLOT over peri-operative MAGIC.**

**Median Survival: 15 month improvement for FLOT over MAGIC**

# Conclusions from these Results

---

## Localized Gastric:

The peri-operative FLOT4 regimen is the current standard of care and should be considered for patients of better performance status.

Perioperative chemotherapy likely has improved survival by 2 years over just surgery alone.

# Thank You!

